



SECTOR ~~4~~

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

43

**CERTIFICATE OF MAILING**

I hereby certify that this paper and the documents and/or fees referred to as attached therein are being deposited with the United States Postal Service on November 30, 2000 in an envelope as First Class Mail, addressed to:  
Assistant Commissioner for Patents, Washington, DC 20231.

Jennifer C. Gross

Attorney Docket No.: RECOP011

First Named Inventor: Douglas B. Morgan

Application No. 09/651,439

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

☐ Duplicate for  
fee processing

Sir: This is a Response to Notice to File Missing Parts in a patent application in the name of inventors:  
Douglas B. Morgan

For: SYSTEM AND METHOD FOR DETECTING COMPUTER INTRUSIONS

**Application Elements:**



Declaration



Newly executed (original or copy)



Copy from a prior application (37 CFR 1.63(d) for a continuation or divisional).

The entire disclosure of the prior application from which a copy of the declaration is herein supplied is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.



Deletion of inventors Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

**Accompanying Application Parts:**



Information Disclosure Statement with Form PTO-1449



Copies of IDS Citations



Preliminary Amendment (*New claims numbered after highest original claim in prior application.*)



Return Receipt Postcard



Verified Statement Claiming Small Entity Status filed in prior application. Status still proper and desired.



Other:

### Amendments

- ☐ Cancel in this application original claims \_\_\_\_\_ of the prior application before calculating the filing fee. (*At least one original independent claim must be retained.*)

### Fee Calculation (37 CFR § 1.16)

	(Col. 1) NO. FILED	(Col. 2) NO. EXTRA	SMALL ENTITY RATE FEE	OR	LARGE ENTITY RATE FEE
BASIC FEE			\$345 \$	OR	\$710= \$710.00
TOTAL CLAIMS	<u>17</u> -20 = <u>0</u>		x9 = \$	OR	x18 = \$
INDEP CLAIMS	<u>3</u> -03 = <u>0</u>		x39 = \$	OR	x78 = \$
[ ] Multiple Dependent Claim Presented			\$130 = \$	OR	\$260 = \$
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.			Total \$	OR	Total \$710.00

- ☐ Applicants petition for a two (2) month extension of time to respond under 37 CFR § 1.136(a).

- ☒ Check No. 5590 in the amount of \$840.00 is enclosed.

### General Authorizations

- ☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for this or any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 50-0685 (Order No. RECOP011 ).

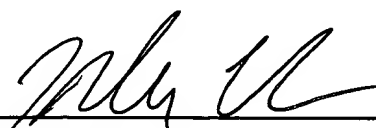
- ☒ The Commissioner is given general authorization to charge any fees or to credit any overpayment during the pendency of this application to Deposit Account No. 50-0685 (Order No. RECOP011 ).

- ☒ Please send correspondence to the following address:

**Customer No. 21912**  
RITTER, VAN PELT & YI LLP  
4906 El Camino Real, Suite 205  
Los Altos, CA 94022

Date:

11/30/00

  
Theodore Chen  
Reg. No. 40,557

#3



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/651,439	08/30/2000	Douglas B. Morgan	RECOP011

21912  
RITTER VAN PELT & YI, L.L.P.  
4906 EL CAMINO REAL  
SUITE 205  
LOS ALTOS, CA 94022

## FORMALITIES LETTER



\*OC000000005489582\*

Date Mailed: 10/20/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 820.

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/08/2000 YPOLITE1 00000056 09651439

01 FC:101  
02 FC:105710.00 OP  
130.00 OP